Name:	JUROR #

## **JUROR QUESTIONNAIRE**

### TO THE PROSPECTIVE JUROR:

You have been summoned by this Court for jury service in a criminal case entitled *United States*v. Elizabeth HolmesRamesh "Sunny" Balwani. In this case, the defendant, Elizabeth HolmesRamesh
"Sunny" Balwani, is charged with wire fraud and conspiracy to commit wire fraud. The indictment alleges that Ms. HolmesMr. Balwani was the Chief Executive Operating Officer ("CEOCOO") and President of Theranos, Inc., which was in the blood testing business. The indictment alleges that the defendant and Ramesh "Sunny" BalwaniElizabeth Holmes, Theranos' Chief Operating Executive

Officer ("COOCEO") and President, knowingly and intentionally devised a scheme or plan to defraud investors in Theranos and paying customers of Theranos. The charges are only allegations and not evidence. The defendant has entered a plea of not guilty to all of the charges in the case and is presumed to be innocent. It will be the responsibility of the government, through the trial, to prove those charges beyond a reasonable doubt.

As part of the jury selection process, each of you must complete this juror questionnaire. The questions on this form assist the Court and the attorneys in the jury selection process for this trial. This questionnaire is designed to obtain information about your background as it relates to your service as an unbiased, fair, and impartial juror in this case.

Unless the question states otherwise, the fact that a particular question is asked does not imply that the subject matter of the question is an issue in this case. As you read the questions, you are not to draw any inferences about the issues that must be decided in this case. The questions are not meant to invade your privacy but to help select a fair and impartial jury for this case.

If there is any reason why you might not be able to give both sides a fair trial in this case, it is important to say so. Please answer each question as fully as you can. Your complete honesty is essential. Do not leave any questions blank. If a question does not apply to you in any way, write "N/A" (for "not applicable"), rather than leaving the form blank. If you do not understand the question, please write that in the space for the answer. If you feel the answer is too personal, please say so in the space provided. You will have the opportunity to discuss your answer privately. If you do not understand a question, need more space for your response, or wish to make further comments about any

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question, please use the extra sheets attached at the end of the questionnaire. If you use the explanation sheets, please make sure to indicate which numbered question you are answering.

The only purpose of this questionnaire is to determine whether, if selected as a juror, you could fairly and impartially decide this case based solely on the evidence presented at trial and the instructions about the applicable law that will be given by the Court. The Court and the lawyers know that every person has beliefs and biases concerning many things. You should answer with your true feelings, whatever they may be. Do not assume that any of your answers will qualify you or disqualify you from serving on this jury.

You are instructed not to discuss this case or questionnaire with anyone, including your family or fellow jurors. It is also important that you do not engage in any research such as searching for stories or reports on the internet or social media about this case or anyone or anything to do with it. You must not read or listen to any media accounts whether in a newspaper, on the internet, on television, or on the radio. Do not discuss or post anything about this case on any electronic or social media, including Facebook, You Tube, Twitter, Reddit, Instagram, LinkedIn, Snapchat, TikTok, email, text message, any blog, website or chat forum or any other media of any kind. You must not let anyone, including friends and family, court personnel, parties or persons involved in the case talk to you about your views or any aspect of this case until the court has so instructed you to do so.

Your answers are confidential. It is important that you understand that the Court is sensitive to your privacy. They will be reviewed by the Judge and the lawyers in this case. After a jury has been selected the original questionnaire will be returned to the Clerk of the Court and kept under seal and will only be disclosed, if at all, with names and other identifying information removed.

Upon completion, please sign your name on the last page where indicated. You are expected to sign your questionnaire, and your answers will be given the same effect as a statement given to the Court under oath.

Dated:	

Hon. Edward J. Davila United States District Judge

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	JUROR #
	PRELIMINARY MATTERS
1.	The trial in this case may last approximately thirteen (13) weeks, or even longer. The jury will
	generally sit three (3) days per week (Tuesdays, Wednesdays, and Fridays), potentially from
	9:00am to 3:00pm, during that timeJury service is one of the highest duties and privileges of a
	citizen of the United States. Mere inconvenience or the usual financial hardship of jury service will
	be insufficient to excuse a prospective juror. Do you wish to apply to the Court to be excused on
	the ground that jury service would be a serious hardship?
	Yes No If Yes, please explain the hardship:
2.	Do you have any condition or issue that would affect your ability to serve as a juror, including
	difficulty hearing, seeing, reading, or concentrating?
	Yes No
	If you feel you could serve with accommodations, please indicate the accommodations:
3	Have you been vaccinated against COVID-19 or are you scheduled to be vaccinated?
٥.	Yes No
	165 140
4.	Have you already contracted COVID-19? Yes No
	If Yes, are you fully recovered (no symptoms) and have you received a negative test result since
	recovering? Yes No
5.	If called to serve as a juror, how concerned are you about getting sick with COVID-19?
٠.	22. Table 1.
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6. If called to serve as a juror, how comfortable are you being in a large courtroom with 20-30 people?
7. Is there any reason relating to the COVID-19 pandemic that would make jury service an undue hardship for you or anyone in your household?  Yes No If Yes, please explain:
8. Is there anything else going on in your life or work that would prevent you from giving this case your full attention for the duration of trial?  Yes No If Yes, please explain:
9. Will your employer continue to pay your salary if you are selected to serve on this jury?  Yes No Not Sure I do not have an employer  10. Do you have any difficulty reading or understanding English?  Yes No
BACKGROUND
11. NAME: Dr. Mr. Mrs. Ms. Miss Age:  12. Residence: County: City/Town: Neighborhood: [Do not list your street address]  How long have you lived at that location? Your place of birth:
Do you own or rent your home? (Circle) Rent Own Other

		JUROR#
	Wha	t other communities have you lived in during the last ten years?
		d to serve as a juror, how will you commute to the courthouse?
1	Sing	
15. W	_	your current job status?
		Working full-time Unemployed
		Working part-time Homemaker
		Retired Disabled
		Full-time student, please indicate areas of study_
		Other, please describe
16. O	)ceupe	
10. 0	ссира	homemaker, please describe your most recent prior employment]
	a.	What is your occupation (or what was it, if not currently employed)?
	b.	By whom are (were) you employed?
	c.	How long have (did) you worked there?
	d.	Please describe the nature/duties of your job
	e.	Do (Did) you supervise other people? What are/were your supervisory responsibilities?
		Yes No If so, how many?
		Responsibilities:
17. D	Ouring	your working life have you changed careers or occupations and, if so, please describe?
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JUROR #
18. Have you ever had a job which was considered management?YesNo  If Yes, describe that position with specifics of your role and how many people you managed?
19. What is the highest level of education you completed?  20. Please describe your educational background:
School Name Major areas of study Degrees received
21. Have you taken any courses or had training in law, legal procedure, or administration of justice? YesNo If Yes, please explain:
22. Please complete the following questions regarding your <b>present spouse/partner</b> . If you are widowed, divorced, or separated, please answer regarding your <b>former spouse/partner</b> .  Current employment status:
Working full-time Unemployed
Working part-time Homemaker
Retired Full-time student
Disabled
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a.	What is his/her occupation (or what was it, if retired or unemployed or disabled)?
b.	By whom is (was) s/he employed?
	How long has (did) s/he worked there?
c.	Please describe the nature of his/her job, was it management or supervisorial?
d.	What other types of jobs has s/he had in the past?
23. W	/hat is the highest level of education s/he completed?
	lease describe her/his educational background, including vocational school(s) and/or college(s) tended:
Sc	hool Name Major areas of study Degrees received
_	
	That are/were your parents' and/or stepparents' occupations? (If retired or deceased, what was
	neir employment?)
	fother: Father:
S	tepmother: Stepfather:
25. If	you have children, please state:
<u>S</u>	ex Age Education Occupation or Year in school
_	

	Age	Education	<u>Occupation</u>		
					-
				_	-
					-
Have you or anyone clo	se to you receive	d any training, expe	rience, or specialized k	nowledge or	
worked in any of the fol	llowing? Please c	heck the box that ap	oplies and provide an ex	planation	
below:					
Sector	Yes, Me	Yes, Family Member	Yes, Someone Close to Me	No	
Finance					
Investing; Private Equity; Startups; Venture Capital					
Health Insurance					-
Healthcare; Health Services					
Blood Testing					-
Pharmacology;					
Pharmacy; Pharmaceuticals					

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28.	. Have you or anyone close to you ever served in the military (including Reserves, National Guard or ROTC)? YesNo  If Yes, please list the branch, years of service, rank attained, type of discharge, and whether you, your family member, or person close to you served in a military police unit, court martial, and/or were in combat:
29.	. What are you and your spouse's/partner's hobbies, major interests, recreational pastimes, and spare time activities?
	Continue on the next page.
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Experience	Yes, Me	Yes, Family Member	Yes, Someone Close to Me	No
Worked in any field that involves blood ests, doctor's tests or other medical care				
Had any work experience with pharmacies (ex. Walgreens; CVS; Safeway; etc.)				
Received any form of medical treatment, vaccine, test or diagnosis in a pharmacy and/or grocery store				
Been involved in a dispute about misdiagnosis, payment for medical treatment, or quality of treatment, including a malpractice dispute				
you answered Yes to a	any of the question	ons above, please pro	vide detail:	
with any law enforcent	nent or other state	e or federal governm	had training in, or have ent agency (including p curities and Exchange C of Health and Human S	olice, sheriff

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	Centers for Medicare & Medicaid Services (CMS), U.S. Postal Inspection Services, CA Dept. of Public Health, Clinical Laboratory Improvement Amendments (CLIA), or any other state or federal regulatory law enforcement agency)?  Yes No If Yes, please identify who, when, and describe the position(s):
	b. Have you or anyone close to you ever worked for a court, prosecutor's office, or criminal defense office? This includes a judge, District Attorney's office, United States Attorney's office, State Attorney General, or any private or public criminal defense attorney's office?  Yes No If Yes, please explain:
32.	Have you or anyone close to you ever been the victim of any kind of crime – including domestic violence, burglary, robbery, assault, identity theft, fraud etc. – whether it was reported to law enforcement authorities or not?  Yes No If Yes, please explain:
33.	Have you ever called the police because of a crime or concern about illegal or dangerous activity? YesNo If Yes, please explain:
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	MEDIA
34. What are your main source	es of news/current events? Please list those that fall into the categories
below. If you need more	space for any category or other answer, please use additional lines below.
Newspapers:	_
Social Media:	
Television:	
Radio:	
Internet:	
Conversations:	
Other:	
	"liked," posted or, tweeted anything on social media about or relating to
Elizabeth Holmes, Rames	h "Sunny" Balwani, or Theranos?
YesNo	If Yes, please explain:
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36.	Do you read, listen	Do you read, listen to, follow, or subscribe to any of the following individuals on blogs, podcasts,				
	social media, or in a	social media, or in any other way? If Yes, please circle the applicable individuals.				
	John Carreyrou	Roger Parloff	Adam Klasfeld	Ethan Barron		
	Chuck Barney	Yasmin Khorram	Sara Randazzo	Tom Hals		
	Matthew Renda	Ken Auletta	Kara Swisher	Eric Topol		
	Matthew Herper	Rebecca Jarvis	Joel Rosenblatt			
37.	Have you read, watched, listened to, or been told of any of the following? Please circle:					
	Bad Blood by John Carreyrou		HBO's The Inventor	HBO's The Inventor		
	ABC News' The Dr	opout Podcast	ABC News' The Drop	out Documentary		
	ABC News 20/20 or	n Theranos	Thicker Than Water by Tyler Shultz			
	"60 Minutes on The	ranos"				
	TED Talk: Theranos, Whistleblowing and Speaking Truth to Power by Erica Cheung					
	If Yes, please expla	in:				
38	. Have you read, wate	ched, listened to, or been told	of any book, story, magazing	e article, television		
	program or docume	ntary that touched on or talke	ed about this case? Yes	s No		
	If Yes, please explain, include where you saw any such item. Please explain:					
39	. Will anything you described above affect your ability to be fair and impartial to both sides in this					
	case? Yes No Please explain:					
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FAMILIARITY WITH THE CASE  40. Do you know or have you heard about the defendant, Mr. Ramesh "Sunny" BalwaniMs. Elizabeth  Holmes?  Yes No If Yes, please explain how you know Ms. HolmesMr. Balwani, or
what you have heard about herhim, and the source of anything you know or have heard about herhim:
41. Do you have familiarity with or have you heard about Theranos, Mr. Ramesh "Sunny" BalwaniMs.  Elizabeth Holmes, or this case?  Yes No If Yes, please explain what you know/have heard and the source:
42. Have you or anyone close to you ever been employed by or applied to work at Theranos? YesNo  If Yes, what were the positions and approximate dates of employment:
43. Have you or anyone close to you owned securities or interests or invested in Theranos or used its blood testing services? YesNo
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44. Have you or anyone close to you had any prior experience with Theranos, Ms. Holmes, or Mr.  Balwani? YesNo If Yes, please explain:
45. Do you or to your knowledge do your friends or family members have strong opinions or feelings about either Ms. Holmes or Mr. Balwani, that would influence your ability to be a fair and impartial juror in this case? YesNo If Yes, please explain:
46. A list is attached to the end of this questionnaire. Please circle the name of any of the listed people that you personally know.  Please explain how you recognize or know the individual(s) whom you have circled:

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Continue on the next page.	
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			JURO	OR #
EXPERIENCE WITH LEGAL SYSTEM  . Have you previously served as a trial juror or as a grand juror? YesNo  If Yes, please complete for each case on which you served:				
	Civil or Criminal	Nature of case (i.e., robbery or personal injury)	When/Where?	Verdict reached? (yes or no)
1				
2				
3				
4				
5				
b. I	s there any re	r served as a jury foreperson?Yes eason that your prior jury service would a oth sides in this trial?YesN	affect your ability to l	
		19		

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48. Have you or anyone close to you ever had occasion to contact the United States Attorney's Office or the District Attorney's Office, including the Family Support, Consumer Fraud or Victim/Witness Divisions? YesNo If Yes, please explain:
49. Have you or anyone close to you ever had to appear or testify as a plaintiff, defendant, victim, or witness? YesNo If Yes, please explain:
50. Have you or anyone close to you ever been arrested? If you wish to speak privately with the court and attorneys please indicate. YesNo
51. Have you or anyone close to you ever suffered a substantial monetary loss because of a financial investment, including stocks, real estate, or business? YesNo If Yes, please explain:
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JUROR #
52. Have you ever reported someone for wrongdoing to your employer or a government agency? YesNo If Yes, please explain:
53. Have you or anyone close to you even been accused of fraud or of fraudulently concealing information? YesNo
54. Is there anything about your experiences, opinions, or beliefs about race, color, immigration status, national origin, ethnicity, gender, or sexual orientation which would strongly influence your ability
to judge the facts of a criminal case or the person accused?
YesNo If Yes, please explain:
55. Is there anything about your personal beliefs, whether religious, moral, ethical, or philosophical,
that would prevent you from serving as a juror in a criminal case?
YesNo If Yes, please explain:
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				JUROR#_	
59	testify, the jury	may not consider that  Do you believe that  No If Yes, plea	testify in his or her own of t fact in any way in reach a defendant must testify se explain:	ning a decision as to w	hether that ot guilty?
60.	officer or agenc	yone close to you eve y, federal agency, or of a criminal case or t	r had a positive or negati	ive experience with a l	aw enforcement
	that the testimon	you may hear testimny of a witness shoulent officer. Despite the	ony from law enforcement be given no more or lest at instruction, would you weight than you would t	ent officers. The judge as weight solely because the tend to give the testing	will instruct you se the witness is mony of a law
	Same weight More weight Please explain: _				
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6	52. If you are selected to sit as a juror on this case, are you aware of any reason why you could not render a verdict based solely on the evidence presented at trial? YesNo If Yes, please explain:
6	63. As a juror it is important that you do not obtain information about this case outside of the courtroom. The Court will instruct you that: Because you will receive all the evidence and legal instruction you properly may consider to return a verdict: do not read, watch, or listen to any news or media accounts or commentary about the case or anything to do with it, do not do any research, such as consulting dictionaries, searching the Internet or using other reference materials; and do not make any investigation or in any other way try to learn about the case on your own. Do not visit or view any place discussed in this case, and do not use the Internet or any other resource to search for or view any place discussed during the trial. Also, do not do any research about this case, the law, or the people involved—including the parties, the witnesses or the lawyers—until you have been excused as jurors. If you happen to read or hear anything touching on this case in the media, turn away and report it to me as soon as possible. Will you be able to follow this instruction?  Yes  No Please explain:
6	64. Judge Davila will preside over this trial. His courtroom deputy is Ms. Adriana Kratzmann. His court reporter is Ms. Irene Rodriguez. Do you know Judge Davila or any members of his staff? YesNo
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65. Is there anything about the subject matter of this case, or the points covered in this questionnaire, or any matter not covered by this questionnaire, which creates a question in your mind as to whether you can be a fair, objective, and impartial juror in this particular case? YesNoIf Yes, please explain:
66. Is there any matter you wish to discuss privately with the Judge?  Yes No If Yes, please explain:
67. Is there anything else the attorneys or the Court might want to know about you when considering you as a juror in this case?
68. Did you have any problems reading or understanding this questionnaire? YesNo
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Workers	
JUROR OATH	
I declare under penalty of perjury that the answers set forth in this Jury Questionnaire are true and correct to the best of my knowledge and belief. I have not discussed the questions or my answers we others. I have not received assistance in completing this questionnaire.	ith
Signature:	
Print Name:	
Date:	
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Further Explanation Sheet (Please put the question number next to your response. Thank you)	
Further Explanation Sheet (Please put the question number next to your response. Thank you)	
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JUROR #
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#### **ATTACHMENT**

Please circle the name of any of the listed people that you personally know:

#### Attorneys

Prosecuting Attorneys:

Jeff Schenk John C. Bostic Robert S. Leach Kelly I. Volkar Stephanie Hinds

Hallie Hoffman Thomas A. Colthurst

United States Attorney's Office, Northern District of California

Defense Attorneys:

Kevin M. Downey

Lance Wade

Amy Mason Saharia

Katherine Trefz

John C. Cline

Seema Roper Mittal

Andrew Lemens

Patrick Looby

Jean Ralph Fleurmont

Michelle Chen

Richard S. Cleary, Jr.

Williams & Connolly LLP Jeffrey B. Coopersmith

Amy Walsh

Stephen A. Cazares

Aaron P. Brecher

Molly McCafferty

Amanda M. McDowell Shawn Estrada

Sachi Schuricht

Orrick Herrington & Sutcliffe

# Possible Witnesses

Sheri Ackert Govind Acharya Peter Anderson Samartha Anekal Carolyn Balkenhol Gerald Asin Stacey Beck Matthew Benedetto Brent Bingham Renee Binder David Boies Fabrizio Bonnani Carrie Broadmerkel Brooke Buchanan Tammy Burd Mark Burnes Sarah Cabayan Chow John Carreyrou Xiao-Yan Cai Mark Campbell Yung Chan Shekar Chandrasekaran Nianhang Chen Erika Cheung Michael Chung William Clarke Dustin Cook Toby Cosgrove 29

Robert Amenta Brad Arington Riley Bechtel Sarah Bennett Jeffrey Blickman Jessica Bramstedt Steven Burd Howard Burris Eric Caddenhead Tim Carroll Sergio Chavez Kevin Chung Tim Cooper

John Couvaras

	ЛU	ROR #	
Michael Craig	Constance Cullen	Andrea Cuppoletti	
Kingshuk Das	Chris Davies	Sue Desmond-Hellmann	
Rick DeVos	Sunil Dhawan	Elizabeth Dickinson	
Susan DiGiaimo	Michael Dixon	Jennifer Dooren	
Bruce Doll	Matt Downs	Dan Doyle	
Maritn Drake	Stanley Druckenmiller	Diana Dupuy	
Lisa Durkin	——Karen Dyer ——	—Scott Earthy	
Erin Edgar	Daniel Edlin	Joel Ehrenkranz	
Alan Eisenman	Kerry Denise Elenatinoba-Johnson	Merhl Ellsworth	
JoEllen Embry	Raymond Embry	Susan Evans	
Kendra Fadil	Richard Fernandez	Stan Fiorito	
Kenneth Fisher	William Foege	Season Flores	
Max Fosque	Gary Frenzel	William Frist	
Peter Fritsch	Surekha Gangadkhedkar	Phyllis Gardner	
Zubin Gautam	Langley Gee	Arnold Gelb	
Rochelle Gibbons	Richard Gift	Melissa Givens	
Maureen Glunz	Xinwei (Sam) Gong	Ellie Goldberg	
Robert Gordon	Brittany Gould	Cass Grandone	
William Griffith	Brian Grossman	Alberto Gutierrez	
Nicholas Haase	Kevin Hagen	Craig Hall	
Margaret Hamburg	George Hamilton	Adelaida Hernandez	
Peyton Hobson	Sally Hojvat	Mary Hole	
Chris Holmes	Christian Holmes	Elizabeth Holmes	
Noel Holmes	Cassie Hughes	—Stefan Hritsu	
Terry Huff	Kevin Hunter	Jared Hutchings	
Christopher James	Nimesh Jhaveri	Craig Josephson	
Swapna Joshi	Penny Keller	Yousuf Khamisani	
Vivek Khanna	Thomas Kickler	Heather King	
Henry Kissinger	——Antti Korhonen ——	-Richard Kovacevich	
Phillipe Laffont	Mark Laret	Eileen Lepera	
Erez Levy	Courtney Lias	—Tina Lin	
Steve Linnerson	Craig Lipset	Christopher Lucas	
Clarissa Lui	Ashley Marie Mackey	Stephen Master	
James Mattis	John McChesney	Christopher McCollow	
Mindy Mechanic	Pat Mendenhall ——	—Seth Michelson	
Wade Duvall Miquelon	Katie Moran	Daniel Mosley	
Michael Mugmon	Rupert Murdoch	Matthew Nathan	
Eileen Norkin	Anthony Nugent	Sam Nunn	
Justin Offen	Noam Ohana	Patrick O'Neill	
Steve O'Neill	Sean O'Malley	Curtis Page	
Xavier Oustalniol	Mark Pandori	Chinmay Pangarkar_—←	Formatted: Indent: Left: 0.38", First line: 0"
Roger Parloff	C. P.	Main. D	
James Patterson	Greg Penner	William Perry	
Melissa Pessin	Lisa Peterson	Phillippe Poux	
Ian Pilcher	M 1'41. D - 44 -	A Onlints	
Bruce Pixley	Meredith Potter	Ana Quintana	
Mona Badani Ramamurthy	Natalie Ravitz	Jan Reed	
Larree Renda	Channing Robertson	Aleksandr Rabodzkey	
Brian Rodriguez	Dave Rogers	Michael Romeo	
Kimberly Romanski	Jay Rosan	Callie Raquel Rosendin	
Adam Rosendorff	Gary Roughead	David Rusnak	
Suraj Saksena	David Satchell	George Scavdis	
Mario Scussel	Robert Shapiro	Tim Sheehy	
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